Last Updated: 19 Nov 2016

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| Expected Salary :  **SGD 7,500** | **Ming Zhou Chua**  Assistant Compliance Manager at Industrial and Commercial Bank of China   |  |  | | --- | --- | | Experience | 7 years | | Previous | Senior Audit Associate  Ernst & Young LLP | | Education | Nanyang Technological University  Bachelor's (2010) | | Nationality |  | | Mobile No. | 96905033 | | Email | CHUA\_MINGZHOU@YAHOO.COM | | Age | 30 years old | |

**Experience**

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| --- | --- |
| Mar 2014 - Present  (3 years) | **Assistant Compliance Manager**  Industrial and Commercial Bank of China  Position level Cerf/Dip  - Acquired knowledge of regulatory compliance in the Singapore financial services sector (Banking Act, FAA, SFA, AML/CFT, KYC/CDD)  - Contributed regulatory compliance perspectives and interpretations of local laws and regulations across business units  - Participated in the new product approval process of the branch  - Partnered with various departments in mitigating compliance risks  - Supported the branch in its regulatory lodgements and filings  - Coordinated responses to enquiries from the industry regulator  - Investigated and filed suspicious transaction reports to the law enforcement authorities  - Developed good written and verbal presentation skills in presenting regulatory compliance matters and recommendations to senior management and business heads  - Demonstrated clear ability for bilingual professional communication |
| Aug 2010 - Mar 2014  (3 years 7 months) | **Senior Audit Associate**  Ernst & Young LLP  Position level Asc Degree  - Developed understanding of internal controls and International Financial Reporting Standards (IFRS)  - Worked on a variety of audit clients including fund managers, mutual funds, private equity funds, corporate treasury, broker-dealers, banks (corporate, retail, private banking), financial adviser companies, deposit insurance and policyowners' protection funds.  - Planned and executed audit engagements according to clients’ required timeline  - Worked in audit engagement teams with assigned tasks to complete independently within budgeted hours  - Collaborated with colleagues across service lines and geographical locations  - Analysed clients’ financial data and investigated unusual variances based on expectations  - Acquired knowledge of regulatory compliance in the Singapore financial services sector (Banking Act, FAA, SFA, AML/CFT, KYC/CDD)  - Reviewed audit clients’ policies and procedures to identify gaps in internal controls and regulatory compliance  - Developed good written and verbal presentation skills in presenting audit findings and recommendations to senior audit team members and clients  - Built rapport with people from different cultural backgrounds in a client-facing role at various levels  - Led and coached junior members during audit engagements  - Reviewed the work of junior staff members  - Completed performance reviews and identified learning opportunities for junior staff members  - Acquired knowledge in products including loans and deposits, trade financing, equities, money market, interest rate swaps, FX (spots, forwards, swaps)  - Gained exposure to clients outside of the financial services sector including property development, logistics, print media and publishing, education |
| May 2009 - Jul 2009  (2 months) | **Audit Intern**  Ng, Lee & Associates - DFK  Position level Asc Degree  - Assisted field-in-charge with the statutory financial statements audit of small and medium-sized enterprises (SMEs) in the chemicals, property development, import/export sectors |
| May 2008 - Jun 2008  (1 month) | **Trainer**  Atomic Concept Pte Ltd  Industry Asc Degree  Position level Asc Degree  - Conducted school holiday enrichment programs for primary school students  - Experienced classroom management of between 5 and 20 students |
| Mar 2007 - Jul 2007  (4 months) | **Consumer Business Support Officer**  SingTel  Position level Asc Degree  - Assisted team manager in the training of new staff in customer order processing  - Reviewed the accuracy of order processing  - Promoted telecommunications solutions to customers at trade exhibition |

**Education**

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| 2010 | **Nanyang Technological University**  Bachelor's  Major Accountancy  CGPA 4.41/5 |

**Languages**

**(Proficiency level: 0 – Poor, 10 - Excellent)**

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| Language | Spoken | Written | Relevant Certificates |
| Secondary Sch | 10 | 10 | - |
| Other Language | - | | |

**Additional Info**

Expected Salary SGD 7,500

Preferred Work Location , ,

Other Information

•Competency in MS Word, Excel, PowerPoint & Access

•Built rapport with people from different cultures

- Collaborated with international students on projects and presentations during overseas student exchange

- Worked closely with team members of different nationalities on audit engagements

- Developed warm and cordial relations with audit clients

PLEASE REACH OUT TO ME VIA EMAIL BEFORE CALLING

**About Me**

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| --- | --- |
| Gender | Male |
| Address |  |